Attorney's Docket No.: 17084-018003/416C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary De Jong, et al.

Art Unit : 1636

Serial No.: 10/086,745

Examiner: David A. Lambertson

Filed

: February 28, 2002

Conf. No.: 8781

Cust. No. : 20985

Title

: METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO CELLS

AND ASSESSMENT THEREOF

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449, cited references, return postcard, and a check for the requisite fee of \$180.00 for filing in connection with the above-captioned patent application.

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The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 17084-018003/416C

Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

Facsimile: (202) 626-7796 email: seidman@fr.com

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399293084 US Date of Deposit June 10, 2005

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

6-13-0-

Attorney's Docket No.: 17084-01800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Gary De Jong, et al. Art Unit : 1636

Serial No.: 10/086,745 Examiner: David A. Lambertson

Filed : February 28, 2002 Conf. No.: 8781

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Title : METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO CELLS

AND ASSESSMENT THEREOF

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN **ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is after receipt of a first Office Action on the merits of the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be · material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited references, other than U.S. patents and U.S. published applications, are provided herewith in connection with the abovecaptioned application.

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 399293084 US

Date of Deposit June 10, 2005

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman

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Applicant: Gary De Jong, et al.

Serial No.: 10/086,745 : February 28, 2002

Supplemental Information Disclosure Statement

Attorney's Docket No.: 17084-018003/416C

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Further to the last Supplemental Information Disclosure Statement that was filed on April 22, 2004, applicant also makes known to the Examiner the following pending U.S. Application that has one or more common inventors and/or are commonly owned:

<u>Ù.S.S.N.</u>	Filing Date	Docket No.
10/976,394	October 29, 2004	17084-018005/416E
11/006,076	December 6, 2004	17084-022002/420B
11/082,154	March 15, 2005	17084-022003/420C

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,77

Attorney Docket No. 17084-018003/416C Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130

Telephone: (858) 678-5070 Facsimile: (202) 626-7796 email: seidman@fr.com

rm PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17084-018003/416C Application No. 10/086,745

List of Patents and Publications for Applicant's **Information Disclosure Statement**

Applicant

Gary De Jong, et al.

Filing Date February 28, 2002 Group Art Unit 1636

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A	NONE					
	В						
	С						
	D						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Publication Country or	y or	Translation		
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	E	99/54445	10/28/1999	PCT .				
	F							
	G							
	Н							
	I							

Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document				
iiiicai	J	Lee and Jaenisch, "A method for high efficiency YAC lipofection into murine embryonic stem cells", Nucleic Acids Res. 24(24):5054-5055 (1996)				
,	К.	Lipofectamine™ Reagent product description, available from Invitrogen™ life technologies				
1	L	Mediatech, Inc. Formulations Table for Dulbecco's Modification of Eagle's Medium available at www.cellgrow.com				
	М.	Rech et al., "Introduction of a yeast artificial chromosome vector into Saccharomyces cereviseae cells by electroporation", Nucleic Acids Res. 18(5):1313 (1990)				
	N	Transfectam™ Reagent product description, available from Promega				
	0	Tseng et al., "Mitosis enhances transgene expression of plasmid delivered by cationic liposomes", Biochemica et Biophyisca Acta 1445:53-64 (1999)				
	P					
	Q					

Exar	miner	Sign	nature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.